The Role of Interviewers in Collecting High Quality Survey Data

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Role of Interviewers

- Create frame of HHs
- Select cases
- Approach households
- Roster members
- Select member
- Ask questions
- Record answers



Role of Interviewers

- Create frame of HHs
- Select cases

Sampling Error

Coverage Error

- Approach households
- Roster members
- Select member

- Nonresponse Error
- Coverage Error
- Sampling Error

Ask questionsRecord answers

- Measurement Error

Interviewer Incentives

- Do we incentivize interviewers to collect good data at each stage?
- Principal-Agent problem
 - Principal: survey researchers, central office
 - Agent: interviewer
 - Private information about cases and neighborhoods
 - Not closely monitored
 - Paradata movement: capture private information



Interviewer Incentives

Interviewer convenience

- Stay cool, warm, dry
- Safe in dangerous neighborhoods

Response rates

- Pay-by-case (Germany)
- Pay-by-hour (US)

How do these affect 3 error sources

Nonresponse, Sampling and Coverage



Interviewers & Nonresponse

- Interviewers in face-to-face surveys
 - Not closely monitored
 - When to approach cases
 - Which cases to attempt

Effect of incentives

- Earlier attempts in African-American areas
 - (Hawkins 1977)
- Pursue cases that look like previous respondents
 - (Kennickell 2005)



Interviewers & Sampling

- Random walk: select every *kth* HH
- HH member: select R from HH roster
- Interviewers select likely respondents
 (Alt 1991, Eyerman et al 2001)
- Bias due to interviewer selection
 - Higher income, more home ownership, more adults
 - (Manheimer & Hyman 1949)



Interviewers & Coverage

- Interviewers create frames
 - Housing units, household members
 - Under- and overcoverage can lead to bias
- > My research is about housing unit listing
 - Interviewer (lister) goes to selected blocks
 - Records address of every housing unit
 - Description where address unclear or does not exist
 - Frames returned to office for selection



Listing Example



Two Listing Methods

Traditional

- Lister has only map of selected area
- Travel around each selected block
- List all housing units

Dependent

- Lister has map and initial frame
 - From previous listing
 - Or commercial database (postal list)
- Update frame in the field
- Used in IAB survey PASS

Census Bureau Listing

- US Census Bureau
 - Survey research as well as Decennial Census
 - Lots of listing
 - Highest response rates in US
- Two listings of 215 blocks
 - Identical methods



Block Agreement Rates



Hypotheses on Mechanisms

- Purposeful undercoverage to avoid nonresponse
 - If later interviewing in same area
 - If I see a house with a big fence and a sign that says "Dangerous Dog," should I even list it?
 - CPS: Hainer (1987)
- Difficult to test



Hypotheses on Mechanisms

- What makes listing easier or more comfortable for the lister?
 - Race, language match
 - Crime rates
 - Driving
- Confirmation bias in dependent listing
 - Failure to add HUs missing from list
 - Failure to delete inappropriate units on list



Michigan Listing Study

- 2 listings of 14 segments
- Failure-to-add confirmation bias
 - Deleted 53 housing units
 - 13% point decrease in inclusion
- Failure-to-delete confirmation bias
 - Added 24 housing units
 - 11% point increase in inclusion

Eckman & Kreuter (submitted)

Dissertation Data Collection

- National Survey of Family Growth (NSFG)
- Three listings of 49 selected segments
 - Vary listing method
 - Manipulation: add and delete units
- Interviewer characteristics
 - Experience, attitudes, languages
- Interview data



Next Steps

- Match 3 listings
 - What kinds of HUs are listed by only 1 or 2?
- Test hypotheses
 - High crime areas
 - Interaction of area, interviewer characteristics
 - Race
 - Language
- Do lister errors lead to bias?



Larger Framework

- Dissertation research is part of research project into interviewer incentives
 - Mechanisms of interviewer error
 - How sensitive are interviewers to incentives?
 - 2 sessions at ESRA 2009 on interviewers as agents
- Interviewers impact data quality
 - Need better understanding of their role



Thank You

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